

ALCOHOL LICENSE COMMITTEE AGENDA

February 26, 2024

4:00 PM

Meeting Room A

City-County Government Center

160 S Macy Street

Fond du Lac, Wisconsin

I. ROLL CALL

A. Attendance

B. Declaration Quorum Present

II. ELECTION OF OFFICERS

A. Chairperson

III. CONSENT AGENDA

A. January 29, 2024 Minutes

IV. INPUT

A. 2023 Demerit Points Report

Presented By: Deputy City Attorney, Ali Panagopoulos and Police Lt. Hahn

B. Demerit Points Update Ordinance

Presented By: Deputy City Attorney, Ali Panagopoulos

V. ACTIONS

A. Temporary Class "B" Licenses/Picnic Licenses

- Winnebago Flyway Chapter Ducks Unlimited (50 Gun Bash 5/18/2024)
- Noon Optimist Club of FDL (Walleye Weekend 6/7/2024-6/9/2024)
- Noon Optimist Club of FDL (FDL County Fair 7/16/2024-7/21/2024)
- Fond du Lac Evening Optimist (FDL County Fair 7/16/2024-7/21/2024)

B. Class "B" Fermented Malt License & "Class C" Wine License

Limited Liability Co: TTAK LLC

Agent: Todd Lisowe

Home Address: W4660 Aeolus Way

d/b/a: Ang an Eddies

Location of Premises: 7 14th Street

C. "Class A" Intoxicating Liquor and Class "A" Fermented Malt License

Limited Liability Co: BALAJI LLC

Agent: Johnny Patel

Home Address: 1136 Deer Run, FDL

d/b/a: Philly on 4th
Location of Premises: 321 4th Street

D. Class "B" Fermented Malt License

Limited Liability Co: Pub 23 LLC

Agent: Himmat Dhillon

Home Address: N6383 Tradewinds Cir., Beaver Dam

d/b/a: Pub 23

Location of Premises: 919 E Johnson Street

VI. ADJOURN

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: January 29, 2024 Minutes

Subject:

Initiator:

Location:

Recommendation:

ATTACHMENTS:

| | File Name | Description |
|---|--------------------------------------|-------------|
| 📎 | Minutes_2023_12_18_Meeting(1078).pdf | Minutes |

ALCOHOL LICENSE COMMITTEE
MEETING MINUTES
CITY OF FOND DU LAC, WISCONSIN
Meeting Room A
160 S Macy Street

December 18, 2023
4:00 PM

ROLL CALL

Attendance

Present

Shawn Anhalt
Scott Gilgenbach
Mason Gravelle
Brandon Hiller
Mel Kolstad
Jane Ricchio

Absent

Peter Doll

Administrative Staff

Ali Panagopoulos, Deputy City Attorney
Anthony Hahn, Police Captain

Declaration Quorum Present

Chairperson Shawn Anhalt declared a quorum present.

CONSENT AGENDA

October 30, 2023 Minutes

A Motion was made by Melissa Kolstad to approve the October 30, 2023 Minutes and seconded by Jane Ricchio, and the motion was **Passed.**

Ayes: Anhalt, Gilgenbach, Gravelle, Hiller, Kolstad, Ricchio

Absent: Doll

INPUT

Audience Comments

The Presiding Officer Shall Limit Comments To Items Noticed Only
On The Agenda

No audience comments were made.

ACTIONS

Temporary Class "B" Licenses/Picnic Licenses

- Fond du Lac Evening Optimist (Bag Fest 2/2/2024-2/3/2024)
- Fond du Lac Evening Optimist (Fathers Day Car Show 6/16/2024)

A Motion was made by Jane Ricchio to approve Temporary Class "B" Licenses/Picnic Licenses and seconded by Melissa Kolstad, and the motion was **Passed**.

Ayes: Anhalt, Gilgenbach, Gravelle, Hiller, Kolstad, Ricchio

Absent: Doll

"Class B" Intoxicating Liquor and Class "B" Fermented Malt License
Limited Liability Co: Trinish LLC

Agent: Raghu Bista

Home Address: W6968 Westwood Dr., Fond du Lac

d/b/a: Pump N Pantry

Location of Premises: 329 W Pioneer Rd.

A Motion was made by Jane Ricchio to approve "Class B" Intoxicating Liquor and Class "B" Fermented Malt License at 329 W Pioneer Rd. for Trinish LLC and seconded by Mason Gravelle, and the motion was **Passed**.

Ayes: Anhalt, Gilgenbach, Gravelle, Hiller, Kolstad, Ricchio

Absent: Doll

"Class B" Intoxicating Liquor and Class "B" Fermented Malt License
Corporation: Liquor Outlet Fond du Lac, Inc.

Agent: Navpreet Manhani

Home Address: 1317 E Oklahoma Ave., Milwaukee

d/b/a: Rare Fine Wine, Spirits, & Cigars

Location of Premises: 661 W Johnson Street

A Motion was made by Melissa Kolstad to approve "Class B" Intoxicating Liquor and Class "B" Fermented Malt License at 661 W Johnson Street for Liquor Outlet Fond du Lac, Inc. and seconded by Scott Gilgenbach, and the motion was **Passed**.

Ayes: Anhalt, Gilgenbach, Gravelle, Hiller, Kolstad, Ricchio

Absent: Doll

"Class B" Intoxicating Liquor and Class "B" Fermented Malt License

Limited Liability Co: Hacienda La Katrina LLC
Agent: Pedro Silva Bautista
Home Address: 94 Mockingbird Ln, N Fond du Lac
d/b/a: Hacienda La Revolucion
Location of Premises: 525 N Peters Ave Suite 100

A Motion was made by Jane Ricchio to approve "Class B" Intoxicating Liquor and Class "B" Fermented Malt License at 525 N Peters Ave Suite 100 for Hacienda La Katrina LLC and seconded by Melissa Kolstad, and the motion was **Passed**.

Ayes: Anhalt, Gilgenbach, Gravelle, Hiller, Kolstad, Ricchio

Absent: Doll

ADJOURN

A Motion was made by Scott Gilgenbach to adjourn at 4:23 p.m. and seconded by Melissa Kolstad, and the motion was **Passed**.

Ayes: Anhalt, Gilgenbach, Gravelle, Hiller, Kolstad, Ricchio

Absent: Doll

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: 2023 Demerit Points Report

Subject: Presented By: Deputy City Attorney, Ali Panagopoulos
and Police Lt. Hahn

Initiator:

Location:

Recommendation:

ATTACHMENTS:

| | File Name | Description |
|---|------------------------------------|-------------|
| 📎 | ALC_2023_Demerit_Points_Report.pdf | Report |

CITY OF FOND DU LAC - Memorandum

City Attorney

Date: January 29, 2024

To: Alcohol Licensing Committee

From: City Attorney's Office

Re: Report of 2023 Issued Demerit Points

Under Section 400-7(D) of the Code of the City of Fond du Lac, City staff must periodically report issued demerit points to the Alcohol Licensing Committee.

In 2023, the following licensed establishments received demerit points:

| Establishment | Date of Incident | Violation | Demerit Points |
|--------------------------------|-------------------------|--|--|
| Fond du Lac Express Mart | 1/20/2023 | Sale of Alcohol to Person Under Age 21 Penalty Enhancer for severe offense (2 deaths) | 80 + 150 Additional for enhancer = 230 |
| AP Quick Mart | 5/1/2023 | No Licensed Bartender on Premises | 40 |
| El Jefe Cantina | 6/11/2023 | Failure to maintain order; fights/disorderly conduct, Police Department notified by bar Penalty Enhancer for severe offense (Firearm) | 10 + 75 Additional for enhancer = 85 |
| Ayah Citgo Express | 10/4/2023 | Sale of Alcohol to Person Under Age 21 (80 Demerit Points) No Licensed Bartender on Premises | 80 + 40 = 120 |
| Top Shelf Sports Bar and Grill | 11/22/2023 | Failure to maintain order; fights/disorderly conduct, Police Department not notified by bar | 45 |

No action regarding warnings, suspensions, or revocation is recommended for any of the establishments at this time.

Please contact Deputy City Attorney Alexandra Panagopoulos at (920) 322-3428 or apanagopoulos@fdl.wi.gov, or Captain Tony Hahn at (920)322-3712 or ahahn@fdl.wi.gov with questions regarding the above-referenced demerit points or incidents.

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: Demerit Points Update Ordinance
Subject: Presented By: Deputy City Attorney, Ali Panagopoulos
Initiator:
Location:
Recommendation:

ATTACHMENTS:

| | File Name | Description |
|---|---|-----------------|
| 📎 | 3785_Demerit_Points_Memo_Draft_FINAL.pdf | Memo |
| 📎 | 3785_Demerit_Points_Ordinance_Draft_FINAL.pdf | Draft Ordinance |

CITY OF FOND DU LAC - Memorandum

Date: February 20, 2024

To: City Council

From: City Attorney's Office

Subject: Alcohol Licensing Demerit Point System Updates

Background

Demerit points are connected to a City of Fond du Lac alcohol license and are governed by Section 400-7 of the Code of the City of Fond du Lac. Demerit points assist the City in making recommendations on possible suspensions or revocations of alcohol beverage license and seek to identify habitually troublesome liquor licensees who repeatedly violate state statutes and/or City of Fond du Lac ordinances.

When a qualifying violation occurs (see list in Section 400-7(B)) at a licensed establishment, the City of Fond du Lac Police Department will notify the licensee that demerit points have been assessed. If a licensee accumulates the following points within a 12-18 month time period, the City may move forward with the following:

- 25 – 149 points = Warning
- 150 – 199 points = Warning or a suspension of the license for 10-90 days
- 200+ points = Warning or a suspension for the license for 10-90 days or license revocation

Any warning, suspension, revocation, or non-renewal of an alcohol license requires a hearing before the Alcohol Licensing Committee who will make a recommendation to City Council.

Proposed Changes

The City of Fond du Lac received a request to consider changes to Chapter 400 of the Code of the City of Fond du Lac regarding demerit points. The City met with the concerned tavern owner and discussed the current system in person and over the phone/email.

After reviewing the tavern owner's concerns and all relevant law, the City Attorney's Office and Police Department recommend the following changes to the demerit points system:

- Change demerit point amounts to better incentivize licensed establishments to promptly notify the Police Department of fights/disorderly conduct incidents.

- Better reference the Police Department's current practice of considering any mitigating circumstances when deciding to assess demerit points following a violation.
- Create a correction meeting process to assist licensed establishments in abating troublesome activity before running into demerit points trouble.
- Remove the points reduction course; the City does not currently have such a class in place.
- Change the lookback period for demerit points to be more uniform.

City staff recommends approval.

ORDINANCE NO. 3785

AN ORDINANCE AMENDING CHAPTER 400, INTOXICATING LIQUOR AND FERMENTED MALT BEVERAGES REGARDING THE DEMERIT POINT SYSTEM

The City Council of the City of Fond du Lac do ordain as follows; deleted items are shown with strikethrough and additions are underlined:

Section 1. That Chapter 400, Intoxicating Liquor and Fermented Malt Beverages; Section 7, Demerit point system., is hereby amended to read as follows:

§ 400-7 Demerit point system.

A. System created. There is hereby established a point system for the purpose of guiding the Alcohol Licensing Committee in making recommendations on possible suspensions or revocations of alcohol beverage licenses. The number of demerit points ~~shall~~ will be assigned according to the type of violation. The system is intended to identify habitually troublesome liquor licensees who repeatedly violate state statutes and/or City of Fond du Lac ordinances and to take consistent action against such licensees.

B. Assignment of points. There are hereby assigned the following demerit points for each type of violation:

| Types of Violations | Ordinance/Law | Demerit Points (per incident) |
|---|-------------------------------|--|
| Refusal to allow police to search premises or refusal to cooperate with lawful investigation by police or Department of Revenue | § 946.41, Wis. Stats. | 150 |
| Refusal to cooperate with Fire Chief or designee | City Code Chapters 69 and 343 | 150 |
| Conducting unlawful business | City Code Chapter 400 | 150 |

| Types of Violations | Ordinance/Law | Demerit Points (per incident) |
|---|--|--|
| Nude or seminude entertainer/employee on premises unless permitted by law | City Code Chapter 400 | 150 |
| Owner/employee selling controlled substances | City Code § 500-5; Ch. 961, Wis. Stats | 150 |
| Illegal drug paraphernalia on premises | Ch. 961, Wis. Stats. | 100 |
| Unauthorized transfer/use of license | City Code Chapter 400 | 90 |
| Exceeding posted occupancy capacity | City Code §§ 255-2 and 343-3 | 80 |
| Sale of alcohol to person under age 21 without parent, guardian or spouse of legal drinking age | City Code Chapter 400 | 80 |
| Person under age 21 on premises without parent, guardian or spouse of legal drinking age | City Code Chapter 400 | 80 |
| Sale to intoxicated person | City Code Chapter 400 | 80 |
| False statement on application | City Code Chapter 400 | 70 |
| Delinquent taxes, assessments | § 433-2E | 150 |
| Failure to maintain order; fights/disorderly conduct, Police Department not notified by bar | § 947.01, Wis. Stats. | 45 <u>80</u> |
| Failure to maintain order; fights/disorderly conduct, Police Department notified by bar | § 947.01, Wis. Stats. | 40 <u>5</u> |
| Littering by patrons or employees | City Code § 568-9 | 10 |

| Types of Violations | Ordinance/Law | Demerit Points (per incident) |
|--|-------------------------------------|--|
| Unnecessary loud noise | City Code § 500-3 | 45 |
| Public consumption outside tavern property | City Code § 400-15 | 45 |
| Open after hours | City Code Chapter 400 | 45 |
| No licensed bartender on premises | City Code Chapter 400 | 40 |
| Unlicensed amusement devices on premises | City Code § 208-8C | 30 |
| After hours carryouts | City Code Chapter 400 | 30 |
| Failure to display license | City Code Chapter 400 | 25 |
| Penalty enhancer for severe offenses; enhanced penalty for conduct which (1) results in bodily harm to any individual; (2) creates a substantial risk of death or bodily harm; (3) involves the use of a firearm or other dangerous weapon; or demonstrates an ongoing disregard for the requirements of state law or municipal ordinances | | Up to 150 additional points |
| Health Code | | |
| Noncritical violation | City Code Chapters 356, 476 and 556 | 25 |
| Critical violation | City Code Chapters 356, 476 and 556 | 80 |
| Gambling machine in a "Class A" establishment | § 945.03(1m), Wis. Stats. | 35 |
| More than allotted gambling machine in a "Class B" establishment | § 945.04(2m), Wis. Stats. | 35 |
| Unauthorized gambling | § <u>Ch.</u> 945, Wis. Stats. | 35 |

C. Method of determination. In determining the accumulated points, the date of the violation is used as the basis for assigning the demerit points per incident. A conviction for a violation is not necessary to assign points. That decision is made by the Chief of Police or his designee based on his analysis of the violation, including the presence of any mitigating circumstances such as notification of an incident by the licensee or cooperation with any lawful investigation.

D. Point notification. The Police Department will notify the Alcohol Licensing Committee and the license holder of any incidents which result in the assessment of demerit points.

~~**E. Point reduction courses.** During any demerit point counting period, a licensee may regain up to 50 demerit points for that period by successfully completing courses sanctioned for that purpose by the City of Fond du Lac Police Department. The number of demerit points returned for each successfully completed course shall also be determined by the Police Department.~~

Correction meeting.

- (1) Notice. Whenever the Chief of Police or designee determines that, on two or more occasions within a 12-month period, a licensee has violated any provision of this chapter or Wis. Stats. Chp. 125 in a manner resulting in enforcement action, the Chief may notify the licensee in writing. The notice shall contain the street address of or other description sufficient to identify the licensed premises, a description of the violations and enforcement actions that have occurred at the premises, and notice of the time and place at which the licensee is scheduled to meet with the Chief and the City Attorney as described in this section.
- (2) Any licensee receiving notice pursuant to subsection (E)(1) shall meet with the Chief of Police or designee and the City Attorney or designee at the date and time prescribed in the notice. The parties shall review the problems occurring at the licensed premises. Within ten days of this meeting, the licensee shall submit to the Chief and City Attorney an abatement plan to end the alcohol beverage-related violations and enforcement actions on the premises. The plan shall also specify the name, address, and telephone number of a person living within 60 miles of the property who can be contacted in the event of further police, fire, or inspection contact. Additionally, the plan shall include a signed statement from the licensee indicating their understanding that if they fail to comply with the abatement plan or to take the actions

discussed at the meeting, the City may move forward with efforts to revoke, suspend, or not renew their license. An abatement plan shall remain active for one year.

§ 400-8 Disciplinary procedures.

A. Hearings and recommendations. The Alcohol Licensing Committee is hereby designated as the duly authorized committee that shall hold hearings as prescribed in § 125.12, Wis. Stats. As provided by law, after the hearing the Alcohol Licensing Committee shall submit a report to the City Council, including findings of fact, conclusions of law and a recommendation as to what action, if any, the City Council should take with respect to the license. In making its recommendation, the Committee shall consider, in addition to all other factors it deems relevant, the following guidelines. It is not intended that these guidelines limit the discretion of the Alcohol Licensing Committee, rather that they provide guidance in formulating a recommendation.

(1) For demerit points totaling 25 to 149 within a rolling twelve-month period, a recommendation that the licensee be warned of the consequences of additional violations.

(2) For demerit points totaling 150 to 199 within a rolling twelve-month period, a recommendation of a warning or a suspension of the license for a period of not less than 10 days and up to 90 days.

(3) For demerit points totaling 200 or more within a rolling twelve-month ~~eighteen-month~~ period, a recommendation of a warning or a suspension of the license for a period of not less than 10 days and up to 90 days or of revocation of the license.

Section 3. Any person violating the provisions of this Ordinance shall be subject to the penalty provided in Section 1-4 of the Code.

Section 4. The appropriate City officials are hereby authorized and directed to take such action as is necessary to effectuate the terms of this Ordinance.

Section 5. All other ordinances and resolutions inconsistent with the provisions of this Ordinance are hereby repealed.

Section 6. This Ordinance shall take effect and be in force upon its passage and publication as provided by law.

ADOPTED:

Keith Heisler, President
Fond du Lac City Council

Attest:

City Attorney:

Margaret Hefter, City Clerk

Reviewed 

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: Temporary Class "B" Licenses/Picnic Licenses

Subject:

- Winnebago Flyway Chapter Ducks Unlimited (50 Gun Bash 5/18/2024)
- Noon Optimist Club of FDL (Walleye Weekend 6/7/2024-6/9/2024)
- Noon Optimist Club of FDL (FDL County Fair 7/16/2024-7/21/2024)
- Fond du Lac Evening Optimist (FDL County Fair 7/16/2024-7/21/2024)

Initiator:

Location:

Recommendation:

ATTACHMENTS:

| File Name | Description |
|--|--------------|
|  special_class_b_Redacted.pdf | Applications |

Application for Temporary Class "B" / "Class B" Retailer's License

See Additional Information on reverse side. Contact the municipal clerk if you have questions.

FEE \$ 10.00

If not filed in 15 days prior to event, a \$25
rush fee will be charged, for a total of \$35 due.

Application Date: 2-6-24

☐ Town ☐ Village ☒ City of FOND DU LAC

County of FOND DU LAC

The named organization applies for: (check appropriate box(es).)

☐ A Temporary Class "B" license to sell fermented malt beverages at picnics or similar gatherings under s. 125.26(6), Wis. Stats.

☒ A Temporary "Class B" license to sell wine at picnics or similar gatherings under s. 125.51(10), Wis. Stats.

at the premises described below during a special event beginning 5-18-2024 and ending 5-18-2024 and agrees to comply with all laws, resolutions, ordinances and regulations (state, federal or local) affecting the sale of fermented malt beverages and/or wine if the license is granted.

1. Organization (check appropriate box) →

☒ Bona fide Club

☐ Church

☐ Lodge/Society

☐ Veteran's Organization

☐ Fair Association or Agricultural Society

☐ Chamber of Commerce or similar Civic or Trade Organization organized under ch. 181, Wis. Stats.

(a) Name Winnebago Flyway Chapter Ducks Unlimited

(b) Address N7840 Sandy Beach Rd., P.O. Box 1573, Fond du Lac, WI 54936-1573
(Street) ☐ Town ☐ Village ☐ City

(c) Date organized 11-29-1938

(d) If corporation, give date of incorporation _____

(e) If the named organization is not required to hold a Wisconsin seller's permit pursuant to s. 77.54 (7m), Wis. Stats., check this box: ☐

(f) Names and addresses of all officers:

President David Kuen, N7840 Sandy Beach Rd., Fond du Lac, WI 54935

Vice President Jim Parduhn, 1045 Martin Rd., Fond du Lac, WI 54935

Secretary Jim Parduhn, 1045 Martin Rd., Fond du Lac, WI 54935

Treasurer Dave Kuen, N7840 Sandy Beach Rd., Fond du Lac, WI 54935

(g) Name and address of manager or person in charge of affair Dave Kuen, N7840 Sandy Beach Rd.
Fond du Lac, WI 54935

2. Location of Premises Where Beer and/or Wine Will Be Sold, Served, Consumed, or Stored, and Areas Where Alcohol Beverage Records Will be Stored:

(a) Street number 520 Fond du Lac Ave., Fond du Lac, WI 54935

(b) Lot _____ Block _____

(c) Do premises occupy all or part of building? 1/2 of Building

(d) If part of building, describe fully all premises covered under this application, which floor or floors, or room or rooms, license is to cover: First floor

3. Name of Event

(a) List name of the event 50 Gun Bash

(b) Dates of event May 18, 2024

DECLARATION

An officer of the organization, declares under penalties of law that the information provided in this application is true and correct to the best of his/her knowledge and belief. Any person who knowingly provides materially false information in an application for a license may be required to forfeit not more than \$1,000.

Officer David Kuen 2-6-2024
(Signature / Date)

Winnebago Flyway Chapter Ducks Unlimited
(Name of Organization)

Date Filed with Clerk 2-6-24

Date Reported to Council or Board 2-26-2024

Date Granted by Council _____

License No. C-0013

CITY OF FOND DU LAC

Memorandum

Date:

To: Chief of Police Attn: Records Division

From: City Clerk

Subject: Temporary Class B License

The application copied on the reverse side was filed with this office within the preceding forty-eight hours.

It is respectfully requested that your recommendation on the granting and issuance of a license be provided to this office.

CITY OF FOND DU LAC

Memorandum

Date:

2/8/24

To: City Clerk

From: Chief of Police

Subject: Temporary Class B License

I hereby recommend that the application be:

☒ Granted a license

☐ Denied a license

Comments

ASHE 125

Application for Temporary Class "B" / "Class B" Retailer's License

See Additional Information on reverse side. Contact the municipal clerk if you have questions.

FEE \$ 10.00

If not filed 15 days prior to event, a \$25

rush fee will be charged, for a total of \$35 due.

Application Date: 01/16/2024

☐ Town

☐ Village

☒ City of FOND DU LAC

County of FOND DU LAC

The named organization applies for: (check appropriate box(es).)

☒ A Temporary Class "B" license to sell fermented malt beverages at picnics or similar gatherings under s. 125.26(6), Wis. Stats.

☐ A Temporary "Class B" license to sell wine at picnics or similar gatherings under s. 125.51(10), Wis. Stats.

at the premises described below during a special event beginning 06/04/2024 and ending 06/09/2024 and agrees to comply with all laws, resolutions, ordinances and regulations (state, federal or local) affecting the sale of fermented malt beverages and/or wine if the license is granted.

1. Organization (check appropriate box) →

☒ Bona fide Club

☐ Church

☐ Lodge/Society

☐ Chamber of Commerce or similar Civic or Trade Organization (organized under ch. 181)

☐ Veteran's Organization

☐ Fair Association

(a) Name NOON OPTIMIST CLUB OF FOND DU LAC

(b) Address P O BOX 1491 FOND DU LAC WI 54936-1491

(Street)

☐ Town

☐ Village

☒ City

(c) Date organized 08/01/1968

(d) If corporation, give date of incorporation 08/26/1970

(e) If the named organization is not required to hold a Wisconsin seller's permit pursuant to s. 77.54 (7m), Wis. Stats., check this box: ☐

(f) Names and addresses of all officers:

President DIANE SKELTON

Vice President JOSEPH SKELTON

Secretary JASON PORTER

Treasurer MARCIA SNYDER

(g) Name and address of manager or person in charge of affair: JOSEPH SKELTON - W4015 MCCABE RD, MALONE, WI 920-251-1759

2. Location of Premises Where Beer and/or Wine Will Be Sold, Served, Consumed, or Stored, and Areas Where Alcohol Beverage Records Will be Stored:

(a) Street number LAKESIDE PARK

(b) Lot _____ Block _____

(c) Do premises occupy all or part of building? _____

(d) If part of building, describe fully all premises covered under this application, which floor or floors, or room or rooms, license is to cover: _____

3. Name of Event

(a) List name of the event WALLEYE WEEKEND

(b) Dates of event JUNE 07 THROUGH JUNE 09, 2024

DECLARATION

The Officer(s) of the organization, individually and together, declare under penalties of law that the information provided in this application is true and correct to the best of their knowledge and belief.

NOON OPTIMIST CLUB OF FOND DU LAC

(Name of Organization)

Officer

Marcia / Snyder 1-16-24
(Signature/date)

Officer

(Signature/date)

Officer

(Signature/date)

Officer

(Signature/date)

Date Filed with Clerk

1/23/24

Date Reported to Council or Board

Date Granted by Council

License No.

C-0005

opt 3381

CITY OF FOND DU LAC

Memorandum

Date:

To: Chief of Police Attn: Records Division

From: City Clerk

Subject: Temporary Class B License

The application copied on the reverse side was filed with this office within the preceding forty-eight hours.

It is respectfully requested that your recommendation on the granting and issuance of a license be provided to this office.

CITY OF FOND DU LAC

Memorandum

Date:

1-30-24

To: City Clerk

From: Chief of Police

Subject: Temporary Class B License

I hereby recommend that the application be:

☒ Granted a license

☐ Denied a license

Comments

ASHK 125

Application for Temporary Class "B" / "Class B" Retailer's License

See Additional Information on reverse side. Contact the municipal clerk if you have questions.

FEE \$ 10.00

*IF not filed 15 days prior to event, a \$25
rush fee will be charged, for a total of \$35 due.*

Application Date: 01/16/2024

☐ Town ☐ Village ☒ City of FOND DU LAC

County of FOND DU LAC

The named organization applies for: (check appropriate box(es).)

☒ A Temporary Class "B" license to sell fermented malt beverages at picnics or similar gatherings under s. 125.26(6), Wis. Stats.

☐ A Temporary "Class B" license to sell wine at picnics or similar gatherings under s. 125.51(10), Wis. Stats.

at the premises described below during a special event beginning 07/17/2024 and ending 07/21/2024 and agrees to comply with all laws, resolutions, ordinances and regulations (state, federal or local) affecting the sale of fermented malt beverages and/or wine if the license is granted.

1. Organization (check appropriate box) →

☒ Bona fide Club

☐ Church

☐ Lodge/Society

☐ Chamber of Commerce or similar Civic or Trade Organization (organized under Ch. 181)

☐ Veteran's Organization

☐ Fair Association

(a) Name NOON OPTIMIST CLUB OF FOND DU LAC

(b) Address P O BOX 1491 FOND DU LAC WI 54936-1491

(Street)

☐ Town

☐ Village

☒ City

(c) Date organized 08/01/1968

(d) If corporation, give date of incorporation 08/26/1970

(e) If the named organization is not required to hold a Wisconsin seller's permit pursuant to s. 77.54 (7m), Wis. Stats., check this box: ☐

(f) Names and addresses of all officers:

President DIANE SKELTON

Vice President JOSEPH SKELTON

Secretary JASON PORTER

Treasurer MARCIA SNYDER

(g) Name and address of manager or person in charge of affair: JOSEPH SKELTON - W4015 MCCABE RD,
MALONE, WI 920-251-1759

2. Location of Premises Where Beer and/or Wine Will Be Sold, Served, Consumed, or Stored, and Areas Where Alcohol Beverage Records Will be Stored:

(a) Street number FOND DU LAC COUNTY FAIR GROUNDS

(b) Lot _____ Block _____

(c) Do premises occupy all or part of building? _____

(d) If part of building, describe fully all premises covered under this application, which floor or floors, or room or rooms, license is to cover: _____

3. Name of Event

(a) List name of the event FOND DU LAC COUNTY FAIR

(b) Dates of event JULY 17 THROUGH JULY 21, 2024

DECLARATION

The Officer(s) of the organization, individually and together, declare under penalties of law that the information provided in this application is true and correct to the best of their knowledge and belief.

NOON OPTIMIST CLUB OF FOND DU LAC

(Name of Organization)

Officer

Marcia Snyder 1-16-24
(Signature/date)

Officer

(Signature/date)

Officer

(Signature/date)

Officer

(Signature/date)

Date Filed with Clerk

1/23/24

Date Reported to Council or Board

Date Granted by Council

License No.

C-0006

rpt 3380

CITY OF FOND DU LAC

Memorandum

Date:

To: Chief of Police Attn: Records Division

From: City Clerk

Subject: Temporary Class B License

The application copied on the reverse side was filed with this office within the preceding forty-eight hours.

It is respectfully requested that your recommendation on the granting and issuance of a license be provided to this office.

CITY OF FOND DU LAC

Memorandum

Date:

1-30-24

To: City Clerk

From: Chief of Police

Subject: Temporary Class B License

I hereby recommend that the application be:

☒ Granted a license

☐ Denied a license

A3He 125

Comments _____

Application for Temporary Class "B" / "Class B" Retailer's License

See Additional Information on reverse side. Contact the municipal clerk if you have questions.

FEE \$ 10.00

If not filed in 15 days prior to event, a \$25
rush fee will be charged, for a total of \$35 due.

Application Date: _____

☐ Town

☐ Village

☒ City of FOND DU LAC

County of FOND DU LAC

The named organization applies for: (check appropriate box(es).)

☒ A Temporary Class "B" license to sell fermented malt beverages at picnics or similar gatherings under s. 125.26(6), Wis. Stats.

☐ A Temporary "Class B" license to sell wine at picnics or similar gatherings under s. 125.51(10), Wis. Stats.

at the premises described below during a special event beginning _____ and ending _____ and agrees to comply with all laws, resolutions, ordinances and regulations (state, federal or local) affecting the sale of fermented malt beverages and/or wine if the license is granted.

1. Organization (check appropriate box) →

☒ Bona fide Club

☐ Church

☐ Lodge/Society

☐ Veteran's Organization

☐ Fair Association or Agricultural Society

☐ Chamber of Commerce or similar Civic or Trade Organization organized under ch. 181, Wis. Stats.

(a) Name Fond du Lac Evening Optimist

(b) Address 585 S main St Fond du lac WI 54935

(Street)

☐ Town

☐ Village

☒ City

(c) Date organized 1947

(d) If corporation, give date of incorporation _____

(e) If the named organization is not required to hold a Wisconsin seller's permit pursuant to s. 77.54 (7m), Wis. Stats., check this box: ☐

(f) Names and addresses of all officers:

President Sandra Tryon W1461 Wendy Ln FDL 54937

Vice President _____

Secretary Tricia Bonlander 585 S main St FDL 54935

Treasurer Lynn Nickel N9250 Minnow Ln FDL 54937

(g) Name and address of manager or person in charge of affair: _____

2. Location of Premises Where Beer and/or Wine Will Be Sold, Served, Consumed, or Stored, and Areas Where Alcohol Beverage Records Will be Stored:

(a) Street number Fond du lac County Fairground - Evening Optimist

(b) Lot _____ Block _____

Stand

(c) Do premises occupy ☒ all or part of building? _____

(d) If part of building, describe fully all premises covered under this application, which floor or floors, or room or rooms, license is to cover: _____

3. Name of Event

(a) List name of the event Fond du lac County Fair

(b) Dates of event 7/16/2024 - 7/21/2024

DECLARATION

An officer of the organization, declares under penalties of law that the information provided in this application is true and correct to the best of his/her knowledge and belief. Any person who knowingly provides materially false information in an application for a license may be required to forfeit not more than \$1,000.

Officer

Lynn Nickel 12/21/23
(Signature / Date)

Fond du lac Evening Optimist
(Name of Organization)

Date Filed with Clerk

12/22/23

Date Reported to Council or Board

Date Granted by Council

License No.

C-0213

CITY OF FOND DU LAC

Memorandum

Date:

To: Chief of Police Attn: Records Division

From: City Clerk

Subject: Temporary Class B License

The application copied on the reverse side was filed with this office within the preceding forty-eight hours.

It is respectfully requested that your recommendation on the granting and issuance of a license be provided to this office.

CITY OF FOND DU LAC

Memorandum

Date:

1/3/23

To: City Clerk

From: Chief of Police

Subject: Temporary Class B License

I hereby recommend that the application be:

☒ Granted a license

☐ Denied a license

Comments

ADH 125

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: Class "B" Fermented Malt License & "Class C" Wine License

Subject: Limited Liability Co: TTAK LLC
Agent: Todd Lisowe
Home Address: W4660 Aeolus Way
d/b/a: Ang an Eddies
Location of Premises: 7 14th Street

Initiator:

Location:

Recommendation:

ATTACHMENTS:

| | File Name | Description |
|---|-----------------------------|----------------------|
| 📄 | ang_and_eddies_Redacted.pdf | Application and Memo |

Fond du Lac Police Department

MEMO



To: City Manager Joe Moore
From: Captain Anthony Hahn
RE: *Class B Beer and Class C Wine, Ang and Eddies, 7 14th St.*
Date: 01-31-24

On January 31, 2024, Assistant City Attorney Taylor Call, Fire Division Chief Jason Roberts and Police Captain Hahn, met with applicant Todd Lisowe, regarding his proposal for a Class B Beer and Class C Wine license at Ang and Eddies, 7 14th St., Fond du Lac, WI.

The legal business name is TTAK LLC, DBA Ang and Eddies, where Mr. Lisowe and his wife Angela McCrory-Lisowe are the current owners of this restaurant. Todd and Angela Lisowe purchased the business with another couple in February of 2023. In July 2023, the Lisowe's took over full owner ownership by themselves.

Ang and Eddies is a restaurant that sells Chicago style pizza and sandwiches. They currently have approximately 10 tables and 24 seats, with a capacity of 40 people. During their ownership of the business, they received requests from customers to have beer with their meal. This request from customers was one of the basis for seeking the alcohol license.

Currently the business hours are Wednesday thru Saturday 4pm to 9pm and on Fridays they will open earlier for lunch at 11 am. He has no current plans on staying open later in the evening. Mr. Lisowe advises much of the business is carry out or delivery.

Mr. Lisowe currently works for a company in the food industry. He also has been involved with Ang and Eddies for approximately one year. Early in his career he also had experience as a bartender and in the restaurant/food service business setting.

Captain Hahn discussed with the applicant the City's expectations of being a license holder.

Background checks conducted on the applicant, both in-house and NCIC/CIB, indicate no prior criminal history that would preclude the issuance of the license request. The Police Department has no objections to the license request.

Form
AT-106

Original Alcohol Beverage
License Application

| FOR CLERKS ONLY | |
|-----------------|-------------------|
| Municipality | C-0007 / C-0008 |
| License Period | 3/14/24 - 6/30/24 |

License(s) Requested

- ☐ Class "A" Beer \$ _____ ☐ "Class A" Liquor \$ _____
- ☒ Class "B" Beer \$ 50- ☐ "Class B" Liquor \$ _____
- ☒ "Class C" Wine \$ 50- ☐ "Class A" Liquor (Cider Only) \$ _____
- ☐ Reserve "Class B" Liquor \$ _____ ☐ "Class B" (Wine Only) Winery \$ _____

| | |
|-------------------|---------------|
| License Fees | \$ 100 |
| Publication Fee | \$ 55 |
| Background Check | \$ |
| Total Fees | \$ 155 |

Part A: Premises/Business Information

1. Legal Business Name (registered entity name or Individual's name if sole proprietorship)

TTAK LLC

2. Trade Name or DBA

Ang an Eddies

3. Premises Address

7 14th St, Fond du Lac, WI 54935

4. County

Fond du Lac

5. Municipality

Fond du Lac

6. Aldermanic District

7. Mailing Address (if different from premises address)

8. FEIN

92-1251741

9. Wisconsin Seller's Permit Number

456-1031196112-04

10. Premises Phone

(920) 929-8909

11. Premises Email

ttakllcfdl@gmail.com

12. Entity Type (check one)

☐ Sole Proprietor

☐ Partnership

☒ Limited Liability Company

☐ Corporation

☐ Nonprofit Organization

13. Premises Description - Describe the building or buildings where alcohol beverages are to be sold and stored. Describe all rooms including living quarters, if used, for the sales, service, consumption, and/or storage of alcohol beverages and records. Alcohol beverages may be sold and stored ONLY on the premises described in this application. Attach additional sheets if necessary.

A restaurant dining room, kitchen area, and basement storage room.

Dining room to be approximately 25x25 ft in size with 10 tables and seating for approximately 40 people.

One stall Bathroom approximately 8x8 ft.

Kitchen area approximately 15x25 ft to include coolers, prep tables, ovens, etc

Basement storage room approximately 20 x 25 ft to include stock supplies, coolers and freezers.

Part B: Questions

1. Have the partners, agent, or sole proprietor satisfied the responsible beverage server training requirement for this license period? Submit a copy of Responsible Beverage Server Training Course Certificate

☒ Yes

☐ No

2. Does the applicant business or its partners, officers, directors, managing members, or agent hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)?

☐ Yes

☒ No

If yes, please explain using the space below. Attach additional sheets if necessary.

Part C: For Corporate/LLC Applicants Only

1. State of Registration

Wisconsin

2. Date of Registration

12/07/20

3. Is the applicant business owned by another corporation or LLC? If yes, please provide the name and FEIN of the parent company below, include parent company members in Part D, and attach Form AT-103 for all of the parent company's principal members, managers, officers, or directors

☐ Yes☒ No

Name of Parent Company

FEIN of Parent Company

4. Does the parent company or any of its officers, directors, managing members, or agent hold any direct or indirect interest in any other alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? If yes, please explain using the space below. Attach additional sheets if necessary.

☐ Yes☒ No

5. Agent's Last Name

Lisowe

Agent's First Name

Todd

Phone

Part D: Individual Information

A Supplemental Questionnaire, Form AT-103, must be completed and attached to this application for each person involved in the applicant business and any parent company as indicated in Part C. Persons in the applicant business include: sole proprietor, all officers, directors, and agent of a corporation or nonprofit organization, all partners of a partnership, and all managing members and agent of a limited liability company.

List the full name, title, and phone number for each person below. Attach additional sheets if necessary.

| Last Name | First Name | Title | Phone |
|----------------|------------|--------|-------|
| Lisowe | Todd | Member | |
| McCrory-Lisowe | Angela | Member | |
| | | | |
| | | | |

Part E: Attestation

Who must sign this application?

• sole proprietor

• one general partner of a partnership

• one corporate officer

• one managing member of an LLC

READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Signature

Date

Name (Last, First, M.I.)

Lisowe, Todd

Title

Member

Email

ttakllcfdl@gmail.com

Phone

Part F: For Clerk Use Only

Date application was filed with clerk

JAN 23 2024

Date reported to governing body

Date provisional license issued (if applicable)

Date license granted

License number

Date license issued

Signature of Clerk/Deputy Clerk

Alcohol Beverage License Application
Supplemental QuestionnaireDate
01/21/24

This form must be submitted to the municipal clerk, and be accompanied by one or more of the following forms: AT-104, AT-106, AT-108, AT-115, or AT-200. One Form AT-103 must be completed by each person involved in the applicant business or parent company including:

- sole proprietor
- all officers, directors, and agent of a corporation or nonprofit organization
- all partners of a partnership
- managing members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Supplemental Questionnaires are submitted.

Part A: Premises/Business Information

1. Registered Entity Name (or individual name if sole proprietor)

TTAK LLC

2. Trade Name or DBA

Ang an Eddies

3. Entity Type (check one)

☐ Sole Proprietor☐ Partnership☒ Limited Liability Company☐ Corporation☐ Nonprofit Organization**Part B: Individual Information**

1. Name (Last, First, M.I.)

Todd Lisowe

2. Relationship to Registered Entity (Title)

Member

3. Email

ttakllcfdl@gmail.com

4. Phone

5. Home Address

W4660 Aeolus Way

6. City

Fond du Lac

7. State

WI

8. Zip Code

54937

9. Date of Birth

10. Drivers License/State ID Number

11. Drivers License/State ID State of Issuance

Wisconsin

Part C: Address History

List in chronological order your last two residence addresses within the last 5 years.

Previous Address 1

400 Vine St

Previous City, State, Zip

Fond du Lac, WI 54935

Dates (MM/YYYY - MM/YYYY)

11/01/2002-10/31/2022

Previous Address 2

Previous City, State, Zip

Dates (MM/YYYY - MM/YYYY)

Part D: Employment History

List in chronological order your last two employers within the last 5 years.

Employer's Name

Sysco Corporation

Employer's Address

1 Sysco Dr, Jackson, WI 53037

Dates Employed (MM/YYYY - MM/YYYY)

09/30/2013

Employer's Name

Employer's Address

Dates Employed (MM/YYYY - MM/YYYY)

Part E: Criminal History

1. Have you ever been convicted of any offenses (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 1, please list details of each conviction below. Attach additional sheets as needed.

| | |
|------------------------|--|
| Law/Ordinance Violated | Trial Date |
| Penalty Imposed | Was sentence completed? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Law/Ordinance Violated | Trial Date |
| Penalty Imposed | Was sentence completed? <input type="checkbox"/> Yes <input type="checkbox"/> No |

2. Are charges for any offenses currently pending against you (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 2, describe nature and status of pending charges using the space below. Attach additional sheets as needed.

Part F: Questions

1. Have you lived in any state other than Wisconsin as an adult? If yes, please list them in the space below. If no, continue to question 2. ☒ Yes ☐ No

Colorado
Minnesota

- | | | |
|--|-------------|--------------|
| 2. How long have you continuously lived in Wisconsin prior to the date of application? | Years 25 | Months 11 |
|--|-------------|--------------|

3. Do you hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g. brewer, brewpub, winery, distillery)? If yes, please explain using the space below. Attach additional sheets as needed. ☐ Yes ☒ No

Part G: Attestation

READ CAREFULLY BEFORE SIGNING: I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

| | |
|---|-----------------|
| Signature  | Date 1.23.24 |
|---|-----------------|

Form
AT-103

Alcohol Beverage License Application Supplemental Questionnaire

This form must be submitted to the municipal clerk, and be accompanied by one or more of the following forms: AT-104, AT-106, AT-108, AT-115, or AT-200. One Form AT-103 must be completed by each person involved in the applicant business or parent company including:

- sole proprietor
- all officers, directors, and agent of a corporation or nonprofit organization
- all partners of a partnership
- managing members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Supplemental Questionnaires are submitted.

| | |
|--|--|
| Part A: Premises/Business Information | |
| 1. Registered Entity Name (or individual name if sole proprietor) TTAK LLC | |
| 2. Trade Name or DBA Ang an Eddies | |
| 3. Entity Type (check one) <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partnership <input checked="" type="checkbox"/> Limited Liability Company <input type="checkbox"/> Corporation <input type="checkbox"/> Nonprofit Organization | |

| | | | |
|---|--|---|----------------------|
| Part B: Individual Information | | | |
| 1. Name (Last, First, M.I.) Angela McCrory-Lisowe | | | |
| 2. Relationship to Registered Entity (Title) Member | | 3. Email ttakllcfdl@gmail.com | |
| 4. Phone [REDACTED] | | | |
| 5. Home Address W4660 Aeolus Way | | | |
| 6. City Fond du Lac | | 7. State WI | 8. Zip Code 54937 |
| 9. Date of Birth [REDACTED] | | 10. Drivers License/State ID Number [REDACTED] | |
| 11. Drivers License/State ID State of Issuance Wisconsin | | [REDACTED] | |

| | |
|--|--|
| Part C: Address History | |
| List in chronological order your last two residence addresses within the last 5 years. | |
| Previous Address 1 400 Vine St | |
| Previous City, State, Zip Fond du Lac, WI 54935 | Dates (MM/YYYY - MM/YYYY) 03/01/2011-10/31/2022 |
| Previous Address 2 | |
| Previous City, State, Zip | Dates (MM/YYYY - MM/YYYY) |

| | |
|--|--|
| Part D: Employment History | |
| List in chronological order your last two employers within the last 5 years. | |
| Employer's Name Horicon Bank | |
| Employer's Address 1207 W Johnson St, Fond du Lac, WI 54937 | Dates Employed (MM/YYYY - MM/YYYY) 11/19/2018 |
| Employer's Name | |
| Employer's Address | Dates Employed (MM/YYYY - MM/YYYY) |

Part E: Criminal History

1. Have you ever been convicted of any offenses (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 1, please list details of each conviction below. Attach additional sheets as needed.

Law/Ordinance Violated

Trial Date

Penalty Imposed

Was sentence completed? ☐ Yes ☐ No

Law/Ordinance Violated

Trial Date

Penalty Imposed

Was sentence completed? ☐ Yes ☐ No

2. Are charges for any offenses currently pending against you (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 2, describe nature and status of pending charges using the space below. Attach additional sheets as needed.

Part F: Questions

1. Have you lived in any state other than Wisconsin as an adult? If yes, please list them in the space below. If no, continue to question 2. ☒ Yes ☐ No

Florida

2. How long have you continuously lived in Wisconsin prior to the date of application?

Years

18

Months

5

3. Do you hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g. brewer, brewpub, winery, distillery)? If yes, please explain using the space below. Attach additional sheets as needed. ☐ Yes ☒ No

Part G: Attestation

READ CAREFULLY BEFORE SIGNING: I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Signature

Date

1/22/04

Schedule for Appointment of Agent by Corporation / Nonprofit Organization or Limited Liability Company

Submit to municipal clerk.

All corporations/organizations or limited liability companies applying for a license to sell fermented malt beverages and/or intoxicating liquor must appoint an agent. The following questions must be answered by the agent. The appointment must be signed by an officer of the corporation/organization or one member/manager of a limited liability company and the recommendation made by the proper local official.

To the governing body of: ☐ Town
☐ Village of Fond du Lac County of Fond du Lac
☒ City

The undersigned duly authorized officer/member/manager of TTAK LLC
(Registered Name of Corporation / Organization or Limited Liability Company)

a corporation/organization or limited liability company making application for an alcohol beverage license for a premises known as Ang an Eddies

located at 7 14th St, Fond du Lac, WI 54935
(Trade Name)

appoints Todd Lisowe
(Name of Appointed Agent)
W4660 Aeolus Way, Fond du Lac, WI 54937
(Home Address of Appointed Agent)

to act for the corporation/organization/limited liability company with full authority and control of the premises and of all business relative to alcohol beverages conducted therein. Is applicant agent presently acting in that capacity or requesting approval for any corporation/organization/limited liability company having or applying for a beer and/or liquor license for any other location in Wisconsin?

☐ Yes ☒ No If so, indicate the corporate name(s)/limited liability company(ies) and municipality(ies).

Is applicant agent subject to completion of the responsible beverage server training course? ☒ Yes ☐ No

How long immediately prior to making this application has the applicant agent resided continuously in Wisconsin? 25 years

Place of residence last year W4660 Aeolys Way, Fond du Lac, WI 54937

For: TTAK LLC, DBA Ang an Eddies
(Name of Corporation / Organization / Limited Liability Company)

By: [Signature]
(Signature of Officer / Member / Manager)

Any person who knowingly provides materially false information in an application for a license may be required to forfeit not more than \$1,000.

ACCEPTANCE BY AGENT

I, Todd Lisowe, hereby accept this appointment as agent for the
(Print / Type Agent's Name)

corporation/organization/limited liability company and assume full responsibility for the conduct of all business relative to alcohol beverages conducted on the premises for the corporation/organization/limited liability company.

[Signature] 1.23.24 Agent's age [Redacted]
(Signature of Agent) (Date)
W4660 Aeolus Way, Fond du Lac, WI 54937 Date of birth [Redacted]
(Home Address of Agent)

APPROVAL OF AGENT BY MUNICIPAL AUTHORITY (Clerk cannot sign on behalf of Municipal Official)

I hereby certify that I have checked municipal and state criminal records. To the best of my knowledge, with the available information, the character, record and reputation are satisfactory and I have no objection to the agent appointed.

Approved on 1-31-24 by A. J. He 125 Title CAPTAIN OF POLICE
(Date) (Signature of Proper Local Official) (Town Chair, Village President, Police Chief)

I. EXECUTIVE SUMMARY

Our plan is to take over a current business that will sell Chicago style pizza and sandwiches to current customer base and retail locations. Our management team consists of Todd Lisowe, Angie McCrory-Lisowe, Travis Lambrecht and Keri Lambrecht. Our team has a wide range of business and people expertise to successfully grow this business. Our market size is Fond du Lac county, and our customer base consists of current customer base including the retail frozen pizza accounts. We will market to our target customers using the following methods: 1) Database invitation for special events. 2) social media 3) Direct sales approach for retail business. In order to get this business off the ground, we require \$120,000 in funds, for the purposes of purchasing the business and working capital. Our goal is to reach cash flow positive in 18 months.

II. BUSINESS DESCRIPTION

This homemade Chicago style pizza/sandwich business will operate in the hospitality industry. Our mission is to provide a unique family dining experience and our vision is to unite people with homemade food.

We are selling pizza and sandwiches and our competitive advantage is proven product in the area for years. Currently, the alternatives that buyers use are national and local pizza business. However, those alternatives lack that style of food products we will be offering.

This specific industry is the Hospitality industry, and it is expected to see steady growth. Given those predictions, our company will perform successfully because we have been in the business and 2 of the partners are currently operating a similar business model. We plan to reach \$300,000 in yearly sales and to increase our annual sales by the end of the first year and 15% growth over the next 3 years.

III. MARKET ANALYSIS AND COMPETITION

After analyzing the market and researching the demand for Pizza, we have determined that this is the best time to permeate the market because it is an existing, successful business.

Our market size is Fond du Lac city, and our target market segment(s) can be described as follows:

Segment 1

- 1) Demographic
 - a) Gender, all
 - b) Age,
 - c) Income,
 - d) Education
 - e) Marital Status
- 2) Psychographic
 - a) Social status
 - b) Beliefs
 - c) Values
 - d) Interests
 - e) Lifestyle preferences
- 3) Geographic

Fond du Lac, area with delivery

We determined that there is enough demand for our product and service within this particular segment due to the existing business has been here for 30 years.

Currently, our biggest competitors are Bob's Pizza and Joe's Fox Hut. What separates us from the competition are style of pizza and service levels.

In comparison to what's offered on the market, our prices are right in line based on the cost of goods, which is in line with our competition.

Here is an estimated comparison of our business to existing competitors:

| CATEGORY | OUR COMPANY | COMPETITOR 1 | COMPETITOR 2 |
|---------------------|-------------|--------------|--------------|
| Est. Yearly Revenue | \$000,000 | \$000,000 | \$000,000 |
| Price Level | Average | High | Low |
| No. Employees | 15 | 30 | 40 |
| Quality | High | Average | Low |

IV. MARKETING AND SALES PLAN

Advertising and promotion are essential to getting the word out about our business, and we expect to implement a social media marketing strategy, which will include direct current customer database marketing and ownership warm market. This strategy will enable us to effectively target existing business and grow with new social media contacts due to our personal links.

Our key offerings include Chicago style pizza, sandwiches and hot dog cart. Our offerings benefit our target customers more than what is currently on the market because we will be able to utilize the team approach with all owners involved. Our unique selling proposition is using each of our talents to reach more customers.

The various methods we plan to use to communicate our offerings to our target customers are social media and word of mouth. Additionally, we aim to promote our hot dog carts by getting involved with other communities.

We intend to distribute our products/services to customers by delivery and setting up in different areas of the communities. We will be selling wholesale and retail and will use cash/credit card for collection of those products and services.

V. OPERATIONS AND MANAGEMENT PLAN

Our physical business locations will be 7 14th St., Fond du Lac, Wi. Other physical requirements of our business are wherever we can take the hot dog cart.

Our production workflow is made to order. Our staffing requirements are Todd and Keri, for in house pizza and we have 2 employees we will retain. Employee training will be provided by Todd and Keri.

VI. DEVELOPMENT PLAN

The development of our offering will occur over the course of the first month. We will launch our marketing strategy mid-January with using our personal social media and current social media from current owners to retain/grow business.

Our organizational structure will be Todd and Keri working during operating hours, Angie to handle the financial area and Travis to help out with prep work. We look to retain 3 of the current employees and bring on 2 more at the beginning.

We anticipate our monthly costs and expenses to be less then the current cost because we will be change the POS system to reduce that cost as well as the advertising cost. We have an anticipated monthly revenue of \$25000.

With the money sourced, we plan to invest in buying the business and all of the current assests which includes the frozen pizza business customers. One year out from launch, our goal is to increase sales by 25%. Five years out from launch, our goal is to have sales of \$500,000.

VII. FINANCIAL STATEMENTS

The following financial statements demonstrate that our business will grow and be profitable.

Provide your projected income statement, cash flow statement, and balance sheet. Additionally, attach a break-even analysis to provide investors with the level of sales you need to make a profit.

VIII. SUPPORTING DOCUMENTS AND ATTACHMENTS

Attached here are the documents that support the information provided in the plan, including current P&L and tax returns from the last 4 years.

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: "Class A" Intoxicating Liquor and Class "A" Fermented
Malt License

Subject: Limited Liability Co: BALAJI LLC
Agent: Johnny Patel
Home Address: 1136 Deer Run, FDL
d/b/a: Philly on 4th
Location of Premises: 321 4th Street

Initiator:

Location:

Recommendation:

ATTACHMENTS:

| | File Name | Description |
|---|----------------------|-------------------------|
| 📎 | phillys_Redacted.pdf | Application and Memo |

Fond du Lac Police Department

MEMO



To: City Manager Joe Moore
From: Captain A. Hahn
RE: Class A Beer and Class A Liquor, Philly on 4th, 321 4th St.
Date: 02-13-24

On February 13, 2024 Deputy City Attorney Alexandra Panagopoulos, Assistant City Attorney Taylor Call, City Clerk Margaret Heffer, Fire Division Chief Jason Roberts and Police Captain Anthony Hahn met with alcohol beverage license applicant Johnny Patel, regarding his proposal for a Class A Beer and Class A Liquor license at Philly on 4th, 321 4th St., Fond du Lac, WI.

This location is currently a convenience store. Patel is in the process of purchasing the business. There are no changes planned at this time. He will be keeping the same hours, 5a-8p with Sunday being 6a-8p.

Patel does have experience in this field. He currently operates Pioneer Pit Stop gas station/convenience store at 20 E Pioneer Rd., Fond du Lac, WI. He had this business since 2007, and prior to that he had 6 years' experience at a convenience store in another city.

Captain Hahn discussed with the applicant the City's expectations of being a license holder.

Background checks conducted on applicant Patel both in-house and NCIC/CIB, indicate no prior Criminal History that would preclude the issuance of the license request.

Form
AT-106

Original Alcohol Beverage License Application

| FOR CLERKS ONLY | |
|-----------------|------------------|
| Municipality | City of FDL |
| License Period | 5/1/24 - 6/30/24 |

License(s) Requested

- ☒ Class "A" Beer \$ 100 ☒ "Class A" Liquor \$ 250
- ☐ Class "B" Beer \$ "Class B" Liquor \$
- ☐ "Class C" Wine \$ ☐ "Class A" Liquor (Cider Only) \$
- ☐ Reserve "Class B" Liquor \$ ☐ "Class B" (Wine Only) Winery \$

| | |
|-------------------|----------------------|
| License Fees | \$ <u>350</u> |
| Publication Fee | \$ <u>55</u> |
| Background Check | \$ |
| Total Fees | \$ <u>405</u> |

Part A: Premises/Business Information

1. Legal Business Name (registered entity name or individual's name if sole proprietorship)

BALAJI LLC

2. Trade Name or DBA

PHILLY ON 4TH

3. Premises Address

321 4TH STREET

4. County

FOND DU LAC

5. Municipality

6. Aldermanic District

7. Mailing Address (if different from premises address)

321 4TH STREET

8. FEIN

93-4406578

9. Wisconsin Seller's Permit Number

456-1031537521-04

10. Premises Phone

920-266-3501

11. Premises Email

BALAJILLC01@GMAIL.COM

12. Entity Type (check one)

☐ Sole Proprietor

☐ Partnership

☒ Limited Liability Company

☐ Corporation

☐ Nonprofit Organization

13. Premises Description - Describe the building or buildings where alcohol beverages are to be sold and stored. Describe all rooms including living quarters, if used, for the sales, service, consumption, and/or storage of alcohol beverages and records. Alcohol beverages may be sold and stored ONLY on the premises described in this application. Attach additional sheets if necessary.


Alcohol is store on premises / inside the store and in the cooler.

Part B: Questions

1. Have the partners, agent, or sole proprietor satisfied the responsible beverage server training requirement for this license period? Submit a copy of Responsible Beverage Server Training Course Certificate. ☒ Yes ☐ No

2. Does the applicant business or its partners, officers, directors, managing members, or agent hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? ☐ Yes ☒ No
If yes, please explain using the space below. Attach additional sheets if necessary.


Part C: For Corporate/LLC Applicants Only

| | | |
|--|-------------------------------------|--|
| 1. State of Registration WISCONSIN | | 2. Date of Registration 11/14/2023 |
| 3. Is the applicant business owned by another corporation or LLC? If yes, please provide the name and FEIN of the parent company below, include parent company members in Part D, and attach Form AT-103 for all of the parent company's principal members, managers, officers, or directors <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Name of Parent Company | | FEIN of Parent Company |
| 4. Does the parent company or any of its officers, directors, managing members, or agent hold any direct or indirect interest in any other alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please explain using the space below. Attach additional sheets if necessary. | | |
| 5. Agent's Last Name PATEL | Agent's First Name JOHNNY | Phone  |

Part D: Individual Information

A Supplemental Questionnaire, Form AT-103, must be completed and attached to this application for each person involved in the applicant business and any parent company as indicated in Part C. Persons in the applicant business include: sole proprietor, all officers, directors, and agent of a corporation or nonprofit organization, all partners of a partnership, and all managing members and agent of a limited liability company.

List the full name, title, and phone number for each person below. Attach additional sheets if necessary.

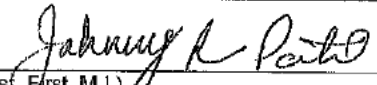

| Last Name | First Name | Title | Phone |
|--------------|---------------|--------------|---|
| PATEL | JOHNNY | OWNER |  |
| | | | |
| | | | |
| | | | |

Part E: Attestation


Who must sign this application?

- sole proprietor • one general partner of a partnership • one corporate officer • ☒ one managing member of an LLC

READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

| | | |
|--|---------------------------------------|--|
| Signature  | | Date 11/11/24 |
| Name (Last, First, M.I.) JOHNNY R PATEL | | |
| Title Owner | Email Balagilicor@gmail.com | Phone  |

Part F: For Clerk Use Only

| | | |
|--|---|---|
| Date application was filed with clerk 2-1-2024 | Date reported to governing body 3-13-24 | Date provisional license issued (if applicable) |
| Date license granted | License number C0010 & C0011 | Date license issued |
| Signature of Clerk/Deputy Clerk  | | |

Form
AT-103Alcohol Beverage License Application
Supplemental Questionnaire

This form must be submitted to the municipal clerk, and be accompanied by one or more of the following forms: AT-104, AT-106, AT-108, AT-115, or AT-200. One Form AT-103 must be completed by each person involved in the applicant business or parent company including:

- sole proprietor
- all officers, directors, and agent of a corporation or nonprofit organization
- all partners of a partnership
- managing members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Supplemental Questionnaires are submitted.

Part A: Premises/Business Information

1. Registered Entity Name (or individual name if sole proprietor)

BALAJI LLC

2. Trade Name or DBA

PHILLY ON 4TH

3. Entity Type (check one)

☐ Sole Proprietor ☐ Partnership ☒ Limited Liability Company ☐ Corporation ☐ Nonprofit Organization**Part B: Individual Information**

1. Name (Last, First, M.I.)

PATEL JOHNNY R.

2. Relationship to Registered Entity (Title)

SOLE MEMBER

3. Email

BALAJILLC01@GMAIL.COM

4. Phone

5. Home Address

1136 DEER RUN

6. City

FOND DU LAC

7. State

WI

8. Zip Code

54935

9. Date of Birth

10. Drivers License/State ID Number

11. Drivers License/State ID State of Issuance

WISCONSIN

Part C: Address History

List in chronological order your last two residence addresses within the last 5 years.

Previous Address 1

795 MUSTANG LANE

Previous City, State, Zip

FOND DU LAC WI 54935

Dates (MM/YYYY - MM/YYYY)

08/2009 - 10/2023

Previous Address 2

Previous City, State, Zip

Dates (MM/YYYY - MM/YYYY)

Part D: Employment History

List in chronological order your last two employers within the last 5 years.

Employer's Name

PIONEER PIT STOP

Employer's Address

20 E PIONEER RD

Dates Employed (MM/YYYY - MM/YYYY)

Employer's Name

Employer's Address

Dates Employed (MM/YYYY - MM/YYYY)

Part E: Criminal History

1. Have you ever been convicted of any offenses (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 1, please list details of each conviction below. Attach additional sheets as needed.

| | |
|------------------------|--|
| Law/Ordinance Violated | Trial Date |
| Penalty Imposed | Was sentence completed? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Law/Ordinance Violated | Trial Date |
| Penalty Imposed | Was sentence completed? <input type="checkbox"/> Yes <input type="checkbox"/> No |

2. Are charges for any offenses currently pending against you (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 2, describe nature and status of pending charges using the space below. Attach additional sheets as needed.

Part F: Questions

1. Have you lived in any state other than Wisconsin as an adult? If yes, please list them in the space below. If no, continue to question 2. ☐ Yes ☒ No

| | | |
|--|-------------|-------------|
| 2. How long have you continuously lived in Wisconsin prior to the date of application? | Years 23 | Months 4 |
|--|-------------|-------------|

3. Do you hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g. brewer, brewpub, winery, distillery)? If yes, please explain using the space below. Attach additional sheets as needed. ☐ Yes ☒ No

Part G: Attestation

READ CAREFULLY BEFORE SIGNING: I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

| | |
|--|------------------|
| Signature  | Date 01/11/24 |
|--|------------------|

Schedule for Appointment of Agent by Corporation / Nonprofit Organization or Limited Liability Company

Submit to municipal clerk.

All corporations/organizations or limited liability companies applying for a license to sell fermented malt beverages and/or intoxicating liquor must appoint an agent. The following questions must be answered by the agent. The appointment must be signed by an officer of the corporation/organization or one member/manager of a limited liability company and the recommendation made by the proper local official.

To the governing body of: ☐ Town ☐ Village of FOND DU LAC County of FOND DU LAC
☒ City

The undersigned duly authorized officer/member/manager of BALAJI LLC
(Registered Name of Corporation / Organization or Limited Liability Company)

a corporation/organization or limited liability company making application for an alcohol beverage license for a premises known as PHILLY ON 4TH

(Trade Name)

located at 321 4TH STREET FOND DU LAC, WI 54935

appoints JOHNNY PATEL

(Name of Appointed Agent)

1136 DEER RUN, FOND DU LAC, WI 54935

(Home Address of Appointed Agent)

to act for the corporation/organization/limited liability company with full authority and control of the premises and of all business relative to alcohol beverages conducted therein. Is applicant agent presently acting in that capacity or requesting approval for any corporation/organization/limited liability company having or applying for a beer and/or liquor license for any other location in Wisconsin?

☒ Yes ☐ No If so, indicate the corporate name(s)/limited liability company(ies) and municipality(ies).
JAY JALARAM INC

Is applicant agent subject to completion of the responsible beverage server training course? ☒ Yes ☐ No

How long immediately prior to making this application has the applicant agent resided continuously in Wisconsin? 23 YEARS

Place of residence last year 1136 DEER RUN, FOND DU LAC, WI 54935

For: BALAJI LLC

(Name of Corporation / Organization / Limited Liability Company)

By: Johnny R Patel

(Signature of Officer / Member / Manager)

Any person who knowingly provides materially false information in an application for a license may be required to forfeit not more than \$1,000.

ACCEPTANCE BY AGENT

I, JOHNNY PATEL, hereby accept this appointment as agent for the
(Print / Type Agent's Name)

corporation/organization/limited liability company and assume full responsibility for the conduct of all business relative to alcohol beverages conducted on the premises for the corporation/organization/limited liability company.

Johnny R Patel 1/11/24
(Signature of Agent) (Date)

Agent's age

1136 DEER RUN FOND DU LAC, WI 54935
(Home Address of Agent)

Date of birth

APPROVAL OF AGENT BY MUNICIPAL AUTHORITY (Clerk cannot sign on behalf of Municipal Official)

I hereby certify that I have checked municipal and state criminal records. To the best of my knowledge, with the available information, the character, record and reputation are satisfactory and I have no objection to the agent appointed.

Approved on 2/13/24 by AJHE 125 Title CAPTAIN OF POLICE
(Date) (Signature of Proper Local Official) (Town Chair, Village President, Police Chief)

To: city of fond du lac.

Balaji
~~Gopal~~ INC

Doing Business as Philly On 4th

We are going to keep the hours of operation the same as now.

Selling groceries, beer, liquor, soda, dairy, fresh food pizza, Chicken And fish.

**ALCOHOL LICENSE COMMITTEE
CITY OF FOND DU LAC, WISCONSIN**

Title: Class "B" Fermented Malt License

Subject: Limited Liability Co: Pub 23 LLC
Agent: Himmat Dhillon
Home Address: N6383 Tradewinds Cir., Beaver Dam
d/b/a: Pub 23
Location of Premises: 919 E Johnson Street

Initiator:

Location:

Recommendation:

ATTACHMENTS:

| | File Name | Description |
|---|--------------------------------|----------------------|
| 📎 | pub_23_Redacted.pdf | Application and Memo |
| 📎 | Class_B_Memo_FINAL_9_25_23.pdf | Attorney Memo |

Fond du Lac Police Department MEMO



To: City Manager Joe Moore
From: Captain A. Hahn
RE: Class B Beer, Pub 23, 919 E Johnson St.
Date: 02-13-24

On February 13, 2024 Community Development Director Dyann Benson, Deputy City Attorney Alexandra Panagopoulos, Assistant City Attorney Taylor Call, City Clerk Margaret Hefter, Fire Division Chief Jason Roberts and Police Captain Anthony Hahn met with alcohol beverage license applicant Himmat Dhillon, regarding his proposal for a Class B Beer license at Pub 23, 919 E. Johnson St., Fond du Lac, WI.

This location is currently a gas station/convenience store, which is Station 23. His proposal is to construct suite seating area which will share the same building as the convenience store/gas station. In this seating area they would sell beer and pizza. The beer would be kept locked in the suite area. Pub 23 will have the same operating hours as the gas station/convenience store, which is currently 600 AM to 1000 PM.

Dhillon does have approximately 20 years' experience in this field. He currently has 15 locations in Wisconsin that are Class A with 4 of them being Class B. He indicated that he has approximately 120 employees, 4 of which are general managers. He has owned this location, Station 23, since approximately 2019/2020 and is the current Agent for this location.

Captain Hahn discussed with the applicant the City's expectations of being a license holder.

Background checks conducted on applicant Dhillon both in-house and NCIC/CIB, indicate no prior Criminal History that would preclude the issuance of the license request (from a background check perspective).

Form
AT-106

Original Alcohol Beverage License Application

FOR CLERKS ONLY

Municipality

City of FDL

License Period

5/14/24 - 6/30/24

License(s) Requested

- ☐ Class "A" Beer \$ _____
- ☒ Class "B" Beer \$ 40-
- ☐ "Class C" Wine \$ _____
- ☐ Reserve "Class B" Liquor \$ _____
- ☐ "Class A" Liquor \$ _____
- ☐ "Class B" Liquor \$ _____
- ☐ "Class A" Liquor (Cider Only) \$ _____
- ☐ "Class B" (Wine Only) Winery \$ _____

| | |
|-------------------|---------------|
| License Fees | \$ 40- |
| Publication Fee | \$ 55- |
| Background Check | \$ |
| Total Fees | \$ 95- |

Part A: Premises/Business Information

1. Legal Business Name (registered entity name or individual's name if sole proprietorship)

PUB 23 LLC

2. Trade Name or DBA

3. Premises Address

919 JOHNSON ST, SUITE B, FONDDULAC WI 54935

4. County

FONDDULAC

5. Municipality

FONDDULAC

6. Aldermanic District

7. Mailing Address (if different from premises address)

PO BOX 590, FONDDULAC WI 54935

8. FEIN

933806617

9. Wisconsin Seller's Permit Number

456103152063202

10. Premises Phone

9209230055

11. Premises Email

HIMMATDHILLON100@GMAIL.COM

12. Entity Type (check one)

- ☐ Sole Proprietor ☐ Partnership ☒ Limited Liability Company ☐ Corporation ☐ Nonprofit Organization

13. Premises Description - Describe the building or buildings where alcohol beverages are to be sold and stored. Describe all rooms including living quarters, if used, for the sales, service, consumption, and/or storage of alcohol beverages and records. Alcohol beverages may be sold and stored ONLY on the premises described in this application. Attach additional sheets if necessary.

BEER, TO BE STORED IN SUITE B IN A LOCKED REFRIGERATOR.

Part B: Questions

1. Have the partners, agent, or sole proprietor satisfied the responsible beverage server training requirement for this license period? Submit a copy of Responsible Beverage Server Training Course Certificate ☐ Yes ☒ No

2. Does the applicant business or its partners, officers, directors, managing members, or agent hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? ☒ Yes ☐ No
If yes, please explain using the space below. Attach additional sheets if necessary.

Station 23llc

Station Prospectllc

Station Ixoniallc

Station Neoshollc

Station26llc

Part C: For Corporate/LLC Applicants Only

| | | | |
|---|--|--|--|
| 1. State of Registration WISCONSIN | | 2. Date of Registration OCTOBER 9 2023 | |
| 3. Is the applicant business owned by another corporation or LLC? If yes, please provide the name and FEIN of the parent company below, include parent company members in Part D, and attach Form AT-103 for all of the parent company's principal members, managers, officers, or directors <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Name of Parent Company | | FEIN of Parent Company | |
| 4. Does the parent company or any of its officers, directors, managing members, or agent hold any direct or indirect interest in any other alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, please explain using the space below. Attach additional sheets if necessary. | | | |
| 5. Agent's Last Name DHILLON | | Agent's First Name HIMMAT | |
| | | Phone [REDACTED] | |

Part D: Individual Information

A Supplemental Questionnaire, Form AT-103, must be completed and attached to this application for each person involved in the applicant business and any parent company as indicated in Part C. Persons in the applicant business include: sole proprietor, all officers, directors, and agent of a corporation or nonprofit organization, all partners of a partnership, and all managing members and agent of a limited liability company.

List the full name, title, and phone number for each person below. Attach additional sheets if necessary.

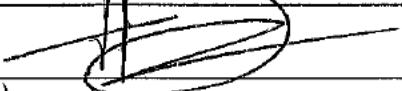
| Last Name | First Name | Title | Phone |
|----------------|---------------|----------------|-------------------|
| DHILLON | HIMMAT | MANAGER | [REDACTED] |
| | | | |
| | | | |
| | | | |

Part E: Attestation


Who must sign this application?

- sole proprietor • one general partner of a partnership • one corporate officer • one managing member of an LLC

READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

| | | | |
|--|--|--|--|
| Signature  | | Date FEB 1 2024 | |
| Name (Last, First, M.I.) DHILLON HIMMAT S | | | |
| Title MANAGER | | Email HIMMATDHILLON100@GMAIL.COM | |
| | | Phone [REDACTED] | |

Part F: For Clerk Use Only

| | | |
|--|---|--|
| Date application was filed with clerk 2/5/24 | Date reported to governing body 3/13/24 | Date provisional license issued (if applicable) _____ |
| Date license granted | License number C0009 | Date license issued |
| Signature of Clerk/Deputy Clerk  | | |

Form
AT-103

Alcohol Beverage License Application Supplemental Questionnaire

This form must be submitted to the municipal clerk, and be accompanied by one or more of the following forms: AT-104, AT-106, AT-108, AT-115, or AT-200. One Form AT-103 must be completed by each person involved in the applicant business or parent company including:

- sole proprietor
- all officers, directors, and agent of a corporation or nonprofit organization
- all partners of a partnership
- managing members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Supplemental Questionnaires are submitted.

Part A: Premises/Business Information

1. Registered Entity Name (or individual name if sole proprietor)

PUB23 LLC

2. Trade Name or DBA

PUB23 LLC

3. Entity Type (check one)

☐ Sole Proprietor ☐ Partnership ☒ Limited Liability Company ☐ Corporation ☐ Nonprofit Organization

Part B: Individual Information

1. Name (Last, First, M.I.)

DHILLON HIMMAT S

2. Relationship to Registered Entity (Title)

MANAGER

3. Email

HIMMATDHILLON100@GMAIL.COM

4. Phone

5. Home Address

N6383 TRADEWINDS CIRCLE

6. City

BEAVER DAM

7. State

WI

8. Zip Code

53916

9. Date of Birth

10. Drivers License/State ID Number

11. Drivers License/State ID State of Issuance

WISCONSIN

Part C: Address History

List in chronological order your last two residence addresses within the last 5 years.

Previous Address 1

NA

Previous City, State, Zip

Dates (MM/YYYY - MM/YYYY)

Previous Address 2

Previous City, State, Zip

Dates (MM/YYYY - MM/YYYY)

Part D: Employment History

List in chronological order your last two employers within the last 5 years.

Employer's Name

NA STATION 23 LLC

Employer's Address

919 JOHNSON ST, SUITE A, FOND DU LAC

Dates Employed (MM/YYYY - MM/YYYY)

Employer's Name

Employer's Address

Dates Employed (MM/YYYY - MM/YYYY)

Part E: Criminal History

1. Have you ever been convicted of any offenses (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 1, please list details of each conviction below. Attach additional sheets as needed.

Law/Ordinance Violated

Trial Date

Penalty Imposed

Was sentence completed? ☐ Yes ☐ No

Law/Ordinance Violated

Trial Date

Penalty Imposed

Was sentence completed? ☐ Yes ☐ No

2. Are charges for any offenses currently pending against you (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or any county or municipal ordinances? ☐ Yes ☒ No

If yes to question 2, describe nature and status of pending charges using the space below. Attach additional sheets as needed.

Part F: Questions

1. Have you lived in any state other than Wisconsin as an adult? If yes, please list them in the space below. If no, continue to question 2. ☐ Yes ☒ No

2. How long have you continuously lived in Wisconsin prior to the date of application?

Years

24 YEARS

Months

3. Do you hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g. brewer, brewpub, winery, distillery)? If yes, please explain using the space below. Attach additional sheets as needed. ☐ Yes ☒ No

Part G: Attestation

READ CAREFULLY BEFORE SIGNING: I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Signature

Date

Feb 1 2024.

Schedule for Appointment of Agent by Corporation / Nonprofit Organization or Limited Liability Company

Submit to municipal clerk.

All corporations/organizations or limited liability companies applying for a license to sell fermented malt beverages and/or intoxicating liquor must appoint an agent. The following questions must be answered by the agent. The appointment must be signed by an officer of the corporation/organization or one member/manager of a limited liability company and the recommendation made by the proper local official.

To the governing body of: ☐ Town ☐ Village of Fond Du Lac County of Fond Du Lac
☒ City

The undersigned duly authorized officer/member/manager of Pub 23 LLC
(Registered Name of Corporation / Organization or Limited Liability Company)

a corporation/organization or limited liability company making application for an alcohol beverage license for a premises known as
PUB 23 LLC
(Trade Name)

located at 919 E JOHNSON ST, SUITE B, FOND DU LAC

appoints HIMMAT DHILLON
(Name of Appointed Agent)
N6383 TRADEWINDS CIRCLE, BEAVER DAM WI 53916
(Home Address of Appointed Agent)

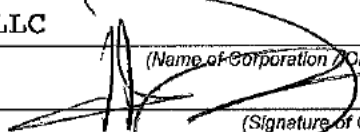
to act for the corporation/organization/limited liability company with full authority and control of the premises and of all business relative to alcohol beverages conducted therein. Is applicant agent presently acting in that capacity or requesting approval for any corporation/organization/limited liability company having or applying for a beer and/or liquor license for any other location in Wisconsin?

☐ Yes ☒ No If so, indicate the corporate name(s)/limited liability company(ies) and municipality(ies).

Is applicant agent subject to completion of the responsible beverage server training course? ☐ Yes ☒ No

How long immediately prior to making this application has the applicant agent resided continuously in Wisconsin? 24 YEARS

Place of residence last year N6383 TRADEWINDS CIRCLE BEAVERDAM WI 53916

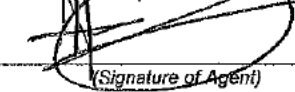
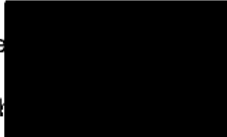

For: PUB 23 LLC
(Name of Corporation / Organization / Limited Liability Company)
By: 
(Signature of Officer / Member / Manager)

Any person who knowingly provides materially false information in an application for a license may be required to forfeit not more than \$1,000.

ACCEPTANCE BY AGENT

I, HIMMAT DHILLON, hereby accept this appointment as agent for the
(Print / Type Agent's Name)

corporation/organization/limited liability company and assume full responsibility for the conduct of all business relative to alcohol beverages conducted on the premises for the corporation/organization/limited liability company.

 Feb 1, 2024
(Signature of Agent) (Date)
N6383 TRADEWINDS CIR BEAVERDAM WI 53916
(Home Address of Agent)
Agent's age 
Date of birth 

APPROVAL OF AGENT BY MUNICIPAL AUTHORITY (Clerk cannot sign on behalf of Municipal Official)

I hereby certify that I have checked municipal and state criminal records. To the best of my knowledge, with the available information, the character, record and reputation are satisfactory and I have no objection to the agent appointed.

Approved on 2/13/24 by AJHR 125 Title CAPTAIN OF POLICE
(Date) (Signature of Proper Local Official) (Town Chair, Village President, Police Chief)

Business Plan: Class B License in a Gas Station

1. Executive Summary:

Our business, Pub 23, is looking to get a Class B Beer license to enhance our customers experience and boost revenue at our gas station. By offering a small selection of beer and pizza, we plan to attract a wider customer base and increase overall sales.

2. Business Description:

Pub 23 will be in Suite B located at 919 E Johnson Street Fond du Lac which will share the same building as a convenience store and gas station we will offer a variety of beer to customers aged 21 and above, complying with all state regulations and serving pizza from the local Bobs Pizza.

3. Market Analysis:

Target Audience: Adults aged 21 and above, local residents, commuters, and travelers seeking relaxation and convenient access to beer and pizza from the local Bobs Pizza. We currently have multiple locations throughout the state of Wisconsin that we have remodeled with the same concepts in mind and have seen great success for the business and surrounding community.

4. Products and Services:

Small selection of beers that cater to diverse tastes that will be in a lockable cooler.

Simple selection of a variety of Pizzas to complement beer purchases.

Knowledgeable staff to assist customers in choosing suitable beer while being cautious to not over serve.

5. Operational Plan:

Partnering with local distributors to maintain a fresh and diverse inventory.

Implementing strict age verification procedures to ensure compliance with legal requirements.

Regular staff training on responsible alcohol sales and customer service.

There will be a dedicated access to Pub 23 and the pump located outside of the parking area will be converted into a prepaid pump only to prevent customers from walking in to the pub to pay for their gas purchase.

There will be designated signage stating "no carry in's allowed" as well as a "No Drinks beyond this point" to restricting taking alcohol into the C- Store.

Pub 23 will maintain the same operating hours Mon-Sun 6:00am-10:00pm as the attached convenience store and gas station so rest rooms will always be accessible.

8. Legal and Regulatory Compliance:

Pub 23 will adhere to all state and local laws related to the sale of alcoholic beverages.

Regular audits and checks to ensure compliance with licensing regulations.

Responsible service practices to prevent underage sales and maintain a safe environment.

9. Risk Management:

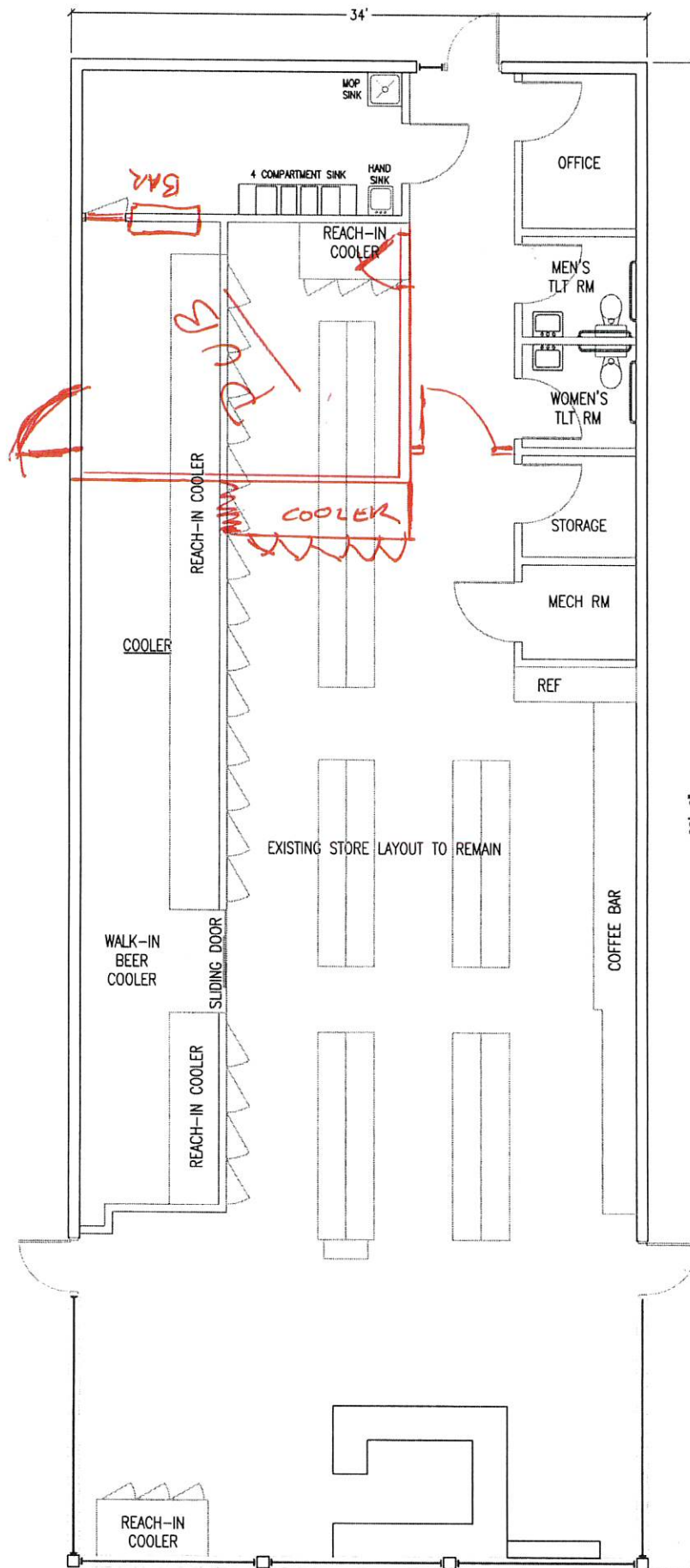
Comprehensive insurance coverage for liability, theft, and property damage.

Monitoring customer behavior to prevent disturbances and maintain a secure environment.

Regular training for staff to handle difficult situations and customer disputes.

10. Conclusion:


By integrating a Class B license for our Pub 23 it aims to provide a convenient, legal, and responsible way for adults to purchase alcoholic beverages after filling up their tanks or grabbing snacks on their way through town. Our commitment to exceptional customer service and compliance with regulations will set us apart, ensuring long-term success and positive community impact.



[illegible]

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|--|----------------------------------|
| STATION 23, LLC 919 EAST JOHNSON STREET FOND DU LAC, WI | |
| INTERIOR ALTERATIONS - FLOOR PLANS | |
| DRAWING NO. 23129 | SCALE: AS SHOWN |
| DATE: 11-02-23 | REVISIONS 12-04-23 1-09-24 |
| SHEET NO. 3 OF 3 | |

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CITY OF FOND DU LAC - Memorandum

Date: February 22, 2024
To: Alcohol Licensing Committee
From: City Attorney's Office
Subject: Requirements for New Class "B" Licenses Connected to Another Licensed Establishment Selling Alcohol

An alcohol license issued by a municipality "must contain sufficient detail to identify the specific areas where the alcohol beverages will be stored or sold or both" and a license which fails to do so does not satisfy the statutory requirement that a license must "particularly describe premises for which issued." *Wisconsin Dolls, LLC v. Town of Dell Prairie*.

Further, Wis. Stat. Sec. 125.32(3m) lays out the following:

(3m) Limitations on other business; Class "B" premises. No Class "B" license or permit may be granted for any premises where any other business is conducted in connection with the premises, **except that this restriction does not apply if the premises for which the Class "B" license or permit is issued is connected to premises where other business is conducted by a secondary doorway that serves as a safety exit and is not the primary entrance to the Class "B" premises.** No other business may be conducted on premises operating under a Class "B" license or permit. These restrictions do not apply to any of the following:

- (a) A hotel.
- (b) **A restaurant, whether or not it is a part of or located in any mercantile establishment.**
- (c) A combination grocery store and tavern.
- (d) A combination sporting goods store and tavern in towns, villages and 4th class cities.
- (e) A combination novelty store and tavern.
- (f) A bowling center or recreation premises.
- (g) A club, society or lodge that has been in existence for 6 months or more prior to the date of filing application for the Class "B" license or permit.
- (h) A movie theater.
- (i) A painting studio.
- (j) Premises for which a temporary Class "B" license is issued under s. 125.26 (6) if the license is one of multiple licenses issued by the municipality to the same licensee for the same date and times, the licensee is the sponsor of an event held at multiple locations within the municipality on this date and at these

times, and an admission fee is charged for participation in the event and no additional fee is charged for service of alcohol at the event.

(k) Premises for which a Class "B" permit is issued under s. 125.27 (5).

Under the statute, a business applying for a Class "B" license cannot be connected to another business, except that business "is connected to premises where other business is conducted by a secondary doorway that serves as a safety exit and is not the primary entrance to the Class "B" premises." The requirement that the two businesses can only share a secondary doorway that is intended to serve only as an emergency exit implies that the two businesses must be totally separate in the following ways:

1. The two businesses cannot be physically connected, other than by an emergency exit.
2. The two businesses must be completely walled off from one another.
3. Customers cannot easily go back and forth between the two businesses while consuming alcohol.
4. There are separate outside entrances to each of the two businesses.
5. There are separate counters at each location that can specifically monitor the requirements of each separate license.

City staff recommends approval of Pub 23's Class 'B' License at 919 East Johnson Street, Fond du Lac, WI., with the following conditions:

1. The Class "B" licensed establishment must have a completely separate premises description from all other licensed establishments and comply with all relevant provisions of Wis. Stat. Chp. 125 and the Code of the City of Fond du Lac. Separate premises must include the following:
 - a. The two businesses cannot permit cross access besides an emergency exit.
 - b. The two businesses must be completely walled off from one another.
 - c. Customers cannot easily go back and forth between the two businesses while consuming alcohol.
 - d. There are separate outside entrances to each of the two businesses.
 - e. There are separate counters and staff at each location that can specifically monitor the requirements of each separate license.
2. The applicant must submit a site plan to the City of Fond du Lac Inspections Department showing said separate premises descriptions.
3. The property must pass all inspections with the City of Fond du Lac Inspections and Fire Departments and Fond du Lac County Health Department (when applicable), and obtain an occupancy permit.